



**MARSHALL COUNTY
MI/CMI/MR/DD
MANAGEMENT PLAN**

FY99-00 Annual Report

FY2000 Marshall County Central Point of Coordination Annual Report

This report will summarize the Marshall County Management Plan for Fiscal Year 2000. The Marshall County MH/DD advisory board consists of 13 persons with representation from three family members, three consumers, providers, and other interested persons. A public hearing and six advisory board meetings were held during FY00 with 44 unduplicated persons in attendance.

For quality assurance and stakeholder-input purposes, the CPC used a survey of consumers and providers to collect data about meeting provider and consumer needs. Surveys were sent randomly to approximately 25% of consumers, and sent to every provider. The survey information will be used as input for changes to the management plan. A summary of the survey results is included in this report.

FY00 year accrued expenditures were \$2,854,842 and June 30, 2000 accrued fund balance is \$1,399,430.

APPEALS

No appeals were filed during FY99-00

AMENDMENTS TO MANAGEMENT PLAN

No amendments were requested during FY99-00.

REPORTS INCLUDED

Department Activity

Quality Assurance Consumer survey

Quality Assurance Provider survey

Management Plan Goals Review

Rate Reimbursement Increase Allocation Summary

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Unduplicated Number of Persons Served by COA Code and Disability Type

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Marshall County Performance Improvement Plan Report for Incentive Efficiency Fund

Actual Provider Network

FY2000 Marshall County Central Point of Coordination Annual Report

DEPARTMENT ACTIVITY

- Continued providing a licensed social worker to serve approximately 100 consumers not covered by targeted case management.
- Central Point of Coordination (CPC) Restructuring Task Force Member
- COMIS Advisory Board Member
- Collaboration with Judges, Mental Health Center, Local Private Hospital, Private Hospital under contract, Iowa Veterans Home, Clerk of Court, Judicial Advocate
- MERIT Community Round Table
- ISAC Spring and Fall School
- Quarterly CPC State Meetings
- Regional Monthly CSC/CPC meetings
- Home and Community Based Services (Waiver) quarterly meetings
- Home and Community Based Services (Waiver) ICN training
- Transition Advisory Board through Area Education Agency 6
- Marshall County Department Meetings
- Iowa State Association of Counties/Department of Corrections committee member
- Iowa State Association of Counties/Central Point of Coordination/Iowa Association of Community Providers rate setting and contracting committee member.

FY2000 Marshall County Central Point of Coordination Annual Report

SURVEY

Survey Results:

	Sent	Returned	%
Consumer Surveys-	107	35	33
Provider Surveys-	53	23	43

Consumer Survey

The process of choosing the consumers who would receive the surveys consisted of selecting a computer sort that included all consumers that had services during Fiscal year 99-00. Due to the large number of consumers who only access the Mental Health Center, the sort deleted persons whose only service was outpatient mental health, and then the sort selected every 3rd person to receive a survey. A self addressed stamped envelope was enclosed for return to the Central Point of Coordination office.

Summary of Consumer Responses:

Are you satisfied with the services you receive?

Not Satisfied – 3, Satisfied – 18, Very satisfied – 12, no response - 2

Do you know who your case manager or social worker is?

Yes – 28, No – 6, No response – 1

Do you feel that the CPC staff treats you the way you want to be treated?

Yes – 12, No – 3, No response – 4, Does not apply - 16

Do you feel that your service providers treat you the way you want to be treated?

Yes – 30, No – 1, No response – 4

Do you feel you have a choice in the services that you receive and that your ideas are considered?

Yes – 29, No – 2, No response – 4

Do you feel that the services you receive are helping you to be as independent and productive as possible within the community?

Yes – 28, No – 1, No response – 6

Are there other services that you would like to receive if they were available?

Yes – 7, No – 17, No response – 6, Perhaps – 5

Some of the suggestions listed included:

“Job coaching” “More cooperation from the city bus” “More residential services in Marshall County” “More activity” “Would like another residential program”

FY2000 Marshall County Central Point of Coordination Annual Report

Provider Surveys

Provider Surveys were sent on August 28, 2000 to all 53 providers listed in the Provider Network of the County Management Plan and 23 surveys were returned.

Summary of Provider Responses:

Do you feel it is easy to access services from Marshall County?

Yes – 22, No- 0, Sometimes - 1

Does Marshall County respond to your requests/inquiries in a timely manner?

Yes 20, No – 0, No response – 1, Sometimes - 2

Is the Marshall County Social Worker or Case Manager represented at the consumer's staffing?

Yes – 18, No – 1 Sometimes – 2, No response – 2

Do you feel that Marshall County respects consumer confidentiality?

Yes – 23

Does Marshall County follow through with payment for recommended services?

Yes – 18, Sometimes – 1, No Response - 4

Are you paid in a timely manner?

Yes – 19, No Response - 4

Is the billing process difficult?

Yes – 2, No – 17, No response - 4

Is there a cooperative effort to meet the needs of mutual consumers?

Yes – 20, No – 0, Sometimes - 3

In what ways could Marshall County improve services?

- “No Concerns. Current services are excellent!”
- “Marshall County has been very cooperative in all aspects of services”
- “Work closer with the advocate process and service providers to determine consumer needs”
- “Keep in mind that third party information may not be accurate”
- “We have had excellent communication with Marshall County CPC”

FY2000 Marshall County Central Point of Coordination Annual Report

MANAGEMENT PLAN GOALS REVIEW

Goal #1

“Marshall County CPC will develop a brochure describing the procedure used for a Mental Health (Code of Iowa Chapter 229) Commitment. The brochure will explain the process of such proceedings.”

Action Step 1:

A subcommittee was formed with the following people:

- Lee Hauptert, Marshall County Judicial Advocate
- Kent Geffe, Local Attorney
- Jill Eaton, CPC Administrator
- Cindy Marble, Judicial Trial Clerk II (Marshall County Clerk of Court)

Action Step 2:

Input was solicited from individuals familiar with the commitment process as well as those unfamiliar with the process. Current published material was reviewed for information. The subcommittee developed the brochure.

Action Step 3:

The brochure was distributed to the following agencies:

- Marshall County Clerk of Court
- Westwood Care Center
- MMSC Home Care Plus
- Mental Health Center of Mid-Iowa
- Marshall County Sheriff Department
- Iowa Veterans Home
- Marshall County Board of Supervisors (information table in Courthouse)
- Marshall County Attorney's Office
- Department of Corrections
- Substance Abuse Treatment Unit of Central Iowa
- Marshalltown Medical & Surgical Center Social Workers
- Marshalltown Police Department
- Marshalltown Attorney's

The feedback that the CPC office has received regarding the brochure was very positive and some agencies have requested additional copies.

FY2000 Marshall County Central Point of Coordination Annual Report

Goal #2

“Transitioning from child based service funding to adult based service funding will be enhanced and earlier identification of children entering the adult system will be improved.”

Marshall County met this goal in FY00 through cooperation with all participants including Targeted Case Management, Central Point of Coordination social worker, the CPC administrator, Area Education Agency 6 work experience coordinators, and area high school special education teachers.

Targeted case managers and CPC social worker recorded 22 contacts and staffings for transition services for persons in 11th and 12th grade.

Records show that each invitation from the AEA6 work experience coordinators and/or special education teachers was responded to and either the staffing was attended or if schedules did not permit, a follow-up was done to obtain the information.

AEA6 Transitional Advisory Board (TAB) meetings were attended by CPC Administrator, CPC Social Worker and Targeted Case Manager.

On November 12, 1999, the CPC Administrator and Targeted Case Manager Sharon Stofferahn served on a transition panel for the Statewide Area Education Agency conference in Des Moines. Topics included information about how to contact and coordinate with your County CPC, the development and input into County MH/DD management plans and discussion on the coordination efforts in Marshall County.

This goal has developed into a procedure that Marshall County will continue as the planning involved will benefit the County, the individual in transition, their family, the area schools and will support the delivery of MH/DD services to individuals in Marshall County.

**FY2000 Marshall County
Central Point of Coordination
Annual Report**

RATE REIMBURSEMENT ALLOCATION

The original plan submitted to the Marshall County Board of Supervisors and approved on 11-9-99 was rate increase for two local providers. Cost reports were received and both of these providers were receiving a POS rate for service that was below the cost to do the service. It was estimated that with this rate increase Marshall County would spend in excess of the \$18,275 allocated. In the final accounting, Marshall County spent \$20,598.64 by allowing this additional increase thus meeting the requirements of the allocation.

	MIW, Inc. (Sheltered Workshop)	Central Iowa Residential Services Inc. (CSALA)
Current POS Rate	\$25.60	\$29.50
FY99-00 Approved Rate	\$28.00	\$28.06
Estimated Units for FY00	6344	535
Estimated Reimbursement	\$15,255.60	\$4,579.60
Actual Units for FY00	6321.50	634
Actual Additional Reimb.	\$15,171.60	\$5,427.04
Total additional reimbursement allocated: \$20,598.64		

County Dollars Spent by COA Code and Disability Type

Date Prepared 2/1/2001

For Marshall County FY: 2000

Account Code	Mental Illness	Chronic Mental	Mental Retardation	Developmental Disability	Other	Service Total
11000 Direct administrative	\$10,297.63	\$8,836.76	\$42,057.47	\$2,238.05		\$63,429.91
21374 Case Management - T19 Match		\$416.19	\$24,478.30	\$1,824.82		\$26,719.31
21375 Case Management - 100% County		\$338.00	\$2,028.00			\$2,366.00
22000 Service Management	\$6,702.77	\$7,381.03	\$29,580.47	\$1,923.32		\$45,587.59
31000 Transportation (non-Sheriff)		\$360.00	\$30,836.27	\$440.10		\$31,636.37
32325 Respite			\$16,086.36			\$16,086.36
32328 Home/Vehicle Modification			\$45.03			\$45.03
32329 Supported Community Living			\$16,803.81			\$16,803.81
32399 Other			\$1,971.30			\$1,971.30
33345 Ongoing Rent Subsidy		\$185.00				\$185.00
33399 Other Basic Needs Service		\$100.00				\$100.00
41305 Physiological Tmt. Outpatient			\$53.00			\$53.00
41306 Physiological Tmt. Prescription Medicine	\$30.72	\$2,358.45	\$7,710.27			\$10,099.44
42305 Psychotherapeutic Tmt. Outpatient	\$304,066.14	\$17,775.50	\$153.20	\$1,301.60		\$323,296.44
50360 Sheltered Workshop Services		\$21,530.51	\$180,128.46	\$30,296.00		\$231,954.97
50362 Work Activity Services		\$4,516.56	\$159,560.54	\$16,805.88		\$180,882.98

Account Code	Mental Illness	Chronic Mental	Mental Retardation	Developmental Disability	Other	Service Total
50367 Adult Day Care			\$64,441.25			\$64,441.25
50368 Supported Employment Services		\$84.00	\$2,674.41			\$2,758.41
50369 Enclave			\$324.90			\$324.90
50399 Other Vocational Services		\$330.00				\$330.00
63310 Comm Supervised Apt Living (Comm. 1-5 Bed)		\$27,113.77	\$14,933.78	\$66,590.42		\$108,637.97
63314 RCF (Comm. 1-5 Bed)		\$27,121.38				\$27,121.38
63329 Supported Community Living (Comm. 1-5 Bed)			\$300,699.42			\$300,699.42
64310 Comm Supervised Apt Living (Comm. 6-15 Bed)			\$25,147.95			\$25,147.95
64314 RCF (Comm. 6-15 Bed)				\$992.46		\$992.46
64315 RCF/MR (Comm. 6-15 Bed)			\$54,508.48			\$54,508.48
64316 RCF/PMI (Comm. 6-15 Bed)		\$9,896.64				\$9,896.64
64318 ICF/MR (Comm. 6-15 Bed)			\$69,428.33			\$69,428.33
65314 RCF (Comm. 16+ Beds)		\$73,780.14	\$40,296.66			\$114,076.80
65315 RCF/MR (Comm. 16+ Beds)			\$18,000.00			\$18,000.00
65316 RCF/PMI (Comm. 16+ Beds)		\$15,106.61				\$15,106.61
65318 ICF/MR (Comm. 16+ Beds)			\$301,422.66			\$301,422.66
71319 Inpatient (State MHI)	\$16,103.79	\$201,879.65				\$217,983.44
72319 Inpatient (State Hosp. School)			\$468,217.85			\$468,217.85

Account Code	Mental Illness	Chronic Mental	Mental Retardation	Developmental Disability	Other	Service Total
73319 Inpatient (Other Priv./Public Hospitals)	\$38,460.00	\$13,770.82				\$52,230.82
74300 D & E Related to Commitment		\$517.70				\$517.70
74353 Sheriff Transportation	\$10,638.60	\$15,763.16				\$26,401.76
74393 Legal Representation (cmtmt court costs/legal fees)	\$3,198.22	\$4,019.38	\$120.00			\$7,337.60
74395 Mental Health Advocates	\$6,670.51	\$11,371.38				\$18,041.89
Total County \$:	\$396,168.38	\$464,552.63	\$1,871,708.17	\$122,412.65		\$2,854,841.83

Unduplicated Number of Persons Served by COA code and Disability Type

Date Prepared 2/1/2001

For Marshall County FY: 2000

Account Code	Mental Illness	Chronic Mental Illness	Mental Retardation	Developmental Disability	Other	Service Total
Adult						
11000 Direct administrative	1	1	1	1		4
21374 Case Management - T19 Match		5	80	8		93
21375 Case Management - 100% County		1	2			3
22000 Service Management	1	1	1	1		4
31000 Transportation (non-Sheriff)		1	29	1		31
32325 Respite			17			17
32328 Home/Vehicle Modification			1			1
32329 Supported Community Living			17			17
32399 Other			1			1
33345 Ongoing Rent Subsidy		1				1
33399 Other Basic Needs Service		2				2
41305 Physiological Tmt. Outpatient			1			1
41306 Physiological Tmt. Prescription Medicine		9	1			10

Account Code	Mental Illness	Chronic Mental Illness	Mental Retardation	Developmental Disability	Other	Service Total
42305 Psychotherapeutic Tmt. Outpatient	285	44	4	2		335
50360 Sheltered Workshop Services		6	35	6		47
50362 Work Activity Services		1	31	2		34
50367 Adult Day Care			8			8
50368 Supported Employment Services		1	8			9
50369 Enclave			2			2
50399 Other Vocational Services		2				2
63310 Comm Supervised Apt Living (Comm. 1-5 Bed)		6	8	10		24
63314 RCF (Comm. 1-5 Bed)		1				1
63329 Supported Community Living (Comm. 1-5 Bed)			49			49
64310 Comm Supervised Apt Living (Comm. 6-15 Bed)			3			3
64314 RCF (Comm. 6-15 Bed)				1		1
64315 RCF/MR (Comm. 6-15 Bed)			5			5
64316 RCF/PMI (Comm. 6-15 Bed)		1				1
64318 ICF/MR (Comm. 6-15 Bed)			6			6
65314 RCF (Comm. 16+ Beds)		14	8			22

Account Code	Mental Illness	Chronic Mental Illness	Mental Retardation	Developmental Disability	Other	Service Total
65315 RCF/MR (Comm. 16+ Beds)			1			1
65316 RCF/PMI (Comm. 16+ Beds)		1				1
65318 ICF/MR (Comm. 16+ Beds)			11			11
71319 Inpatient (State MHI)	4	23	1			28
72319 Inpatient (State Hosp. School)			14			14
73319 Inpatient (Other Priv./Public Hospitals)	22	7				29
74300 D & E Related to Commitment		2				2
74353 Sheriff Transportation	56	49	4			109
74393 Legal Representation (cmtmt court costs/legal fees)	27	31	3			61
74395 Mental Health Advocates	1	1				2
Child						
42305 Psychotherapeutic Tmt. Outpatient	91					91
72319 Inpatient (State Hosp. School)			1			1
73319 Inpatient (Other Priv./Public Hospitals)	4					4
74353 Sheriff Transportation	16					16
74393 Legal Representation (cmtmt court costs/legal fees)	1					1

Mental Health System Growth / Loss Report

Date Prepared 2/1/2001

For Marshall County FY: 2000

DISABILITY GROUP	First Quarter	Second Quarter	Third Quarter	Fourth Quarter	Net Change
Chronic Mental Illness	54	59	60	62	8
Mental Illness	226	217	197	168	-58
Mental Retardation	128	131	130	133	5
Other Developmental Disabilities	16	16	16	15	-1
	424	423	403	378	-46

**Persons Served - Age Group by Primary Diagnostic Category
For Marshall County FY 2000**

Date Prepared 2/1/2001

DISABILITY GROUP	Children	Adults	Unduplicated Total
Mental Illness	106	322	425
Chronic Mental Illness	0	101	101
Mental Retardation	1	139	140
Other Developmental Disabilities	0	17	17
	<hr/> <hr/>	<hr/> <hr/>	<hr/> <hr/>
	107	579	683

Incentive Fund Report for Marshall County FY 2000

(1)b: Percentage of Service Provision

Date Prepared 2/1/2001

Number of Consumers Served:	683
Population:	38,789
Percentage of Service Provision:	1.76081%

Incentive Fund Report for Marshall County FY 2000

(1)c: Percentage of Denial of County Funding

Date Prepared 2/1/2001

Total Applications:	232
Applications Denied:	2
Applications Approved:	230
Percentage of Denial of Access:	0.86207%

Incentive Fund Report for Marshall County FY 2000

(1)d: County's eligibility guidelines

Date Prepared 11/7/2000

(As printed in FY00 Management Plan)

[25.16]Consumer Eligibility Diagnosis

{ Uniform Chart of Accounts for Iowa County Governments, County Finance Committee 12-4-97,

Note: * {Department of Humans Services Case Management Regulations}

Mental Health/Mental Illness (MI):

People who have a current diagnosis of a mental illness as defined in the Diagnostic and Statistical Manual, Fourth Edition (DSM IV). Diagnoses which fall into this category include, but are not limited to, the following: schizophrenia, major depression, bipolar disorder, adjustment disorder, and personality disorder. Also included are organic disorders such as dementia's, substance-induced disorders, and "other" organic disorders, including physical disorders such as brain tumors. (Excluded are V Code diagnoses, psychoactive substance use disorders, and developmental disorders.)

Chronic Mental Illness (CMI):

Persons 18 years of age or over, with a persistent mental or emotional disorder that seriously impair their functioning relative to such primary aspects of daily living as personal relations, living arrangements, or employment. People with chronic mental illness will typically have histories that meet at least one of the treatment history criteria and at least two of the functioning history criteria.

A. Treatment History Criteria: People with chronic mental illness will typically meet at least one of the following criteria:

1. Have undergone psychiatric treatment more intensive than outpatient care more than once in a lifetime(ex. Emergency services, alternative home care, partial hospitalization or inpatient hospitalization);

OR

2. Have experienced at least one episode of continuous, structured supportive residential care other than hospitalization.

AND

B. Functioning History Criteria: People with chronic mental illness will typically meet at least two of the following criteria on a continuous or intermittent basis for at least two years:

1. Are unemployed, employed in a sheltered setting, or have markedly limited skills and a poor work history.
2. Require financial assistance for out-of-hospital maintenance and may be unable to procure this assistance without help.
3. Show severe inability to establish or maintain a personal social support system.
4. Require help in basic living skills.
5. Exhibit inappropriate social behavior that results in demand for intervention by the mental health or judicial system.

*In atypical instances, a person may vary from the above criteria and could still be considered a person with chronic mental illness.

Incentive Fund Report for Marshall County FY 2000

(1)d: County's eligibility guidelines

Date Prepared 11/7/2000

(As printed in FY00 Management Plan)

Persons with Mental Retardation(MR):

People with mental retardation have significantly sub-average general intellectual functioning existing concurrently with deficits in adaptive behavior, manifested during the developmental period. All of the following criteria must be met:

- A. A score of approximately 70 intelligence quotient (IQ) or below, as obtained by assessment with one or more of the individually administered general intelligence tests developed for the purpose of assessing intellectual functioning. *A full scale IQ of 70 allows for a +/- 5 points.
- B. Deficits in adaptive behavior, defined as the effectiveness or degree with which individuals meet the standards of personal independence and social responsibility expected for age and cultural group.
- C. Sub-average intellectual functioning and deficits in adaptive behavior are manifested during the developmental period, the time period between conception and the eighteenth birthday.

Developmental Disability (DD)

People with developmental disabilities have severe, chronic disabilities which meet all of the following criteria:

- A. Is attributable to a mental or physical impairment or combination of mental and physical impairments.
- B. Is manifested before the person attains age 22.
- C. Is likely to continue indefinitely
- D. *Result in substantial functional limitations in three or more of the following areas of life activities: self care/receptive and expressive language/learning/mobility/self-direction/capacity for independent living/economic self-sufficiency
- E. *Reflects the person's need for a combination and sequence of services which are of lifelong or extended duration and are individually planned and coordinated.

Note:

Any person receiving services found to be ineligible due to diagnosis criteria will not be eligible for additional services and current services will be reviewed with an attempt to provide alternative funding or alternative services. Current practice is to allow for a 30 to 60 day transition period. For some consumers it is recognized that a longer transition period may be necessary.

Incentive Fund Report for Marshall County FY 2000

(1)d: County's eligibility guidelines

Date Prepared 11/7/2000

(As printed in FY00 Management Plan)

Income and Resource Guidelines

Individuals determined to be eligible for Marshall County funding will be subject to the following Income and Resource guidelines.

Resource Limits

Limit of \$2,000.00 for a single person and \$3,000 for a married couple living together.

Resources are things owned, such as a home, contracts for the sale of real estate, personal property, trusts, life estates, stocks and bonds, savings and checking accounts, or cash.

In determining the countable value of your resources, take into account the following guidelines because not all resources are counted:

- A home is not counted if you live in it, or you are away and plan to return to it, or if your spouse or a dependent relative is living in it.
- Life insurance policies are not counted if the total face value is \$1,500 or less. If the total face value is more than \$1,500, only the cash value is counted.
- The value of one vehicle is not counted if it is used by the household for transportation to a job or to a medical provider (at least four times per year), or if it is modified for use by a handicapped person. If your vehicle is not one of the types just listed, only the portion of the current market value which exceeds \$4,500 may be counted as a resource.
- Personal and household goods may count, depending on their value.
- Irrevocable Burial Trust

If resources exceed allowable limitations, the applicant must deplete resources to allowable levels by paying for their own care and treatment before the county will fund.

The County can, if requested by consumer/guardian begin or continue to provide service planning and attend staffings once a person is found to be eligible for services but is over resource limit and is required to self pay for services until under the resource limit.

Transfer of allowable resources within 6 months to become eligible for county payment will result in denial of county funding. The amount transferred and the cost of service will be determined to see how long the applicant will be ineligible. The number of months that the applicant could have paid for their own services will be used to determine length of ineligibility.

Marshall County will recognize Social Security Plans for achieving self-support such as PASS or IRWE that allow for savings for specific allowable purchases. These accounts will be excluded from the resource limits.

Maintenance Participation for Persons in Residential Care Facilities (RCF, RCF/MR, RCF/PMI): Client Participation in funding their care is required for residential services consistent with State Supplementary Assistance (SSA) guidelines.

Incentive Fund Report for Marshall County FY 2000

(1)d: County's eligibility guidelines

Date Prepared 11/7/2000

(As printed in FY00 Management Plan)

Income Limits:

If income exceed the allowable limitations shown below, the applicant must spend down income to allowable levels by paying for their own care and treatment before the county will fund the service. The County can, if requested by consumer/guardian begin or continue to provide service planning and attend staffings once a person is found to be eligible for services but is over income limits and is required to self pay for services until under the income limit.

1999 POVERTY GUIDELINES		
200% POVERTY TABLE		
FAMILY SIZE	MONTHLY GROSS INCOME	ANNUAL GROSS INCOME
1	\$1,373	\$16,476
2	\$1,843	\$22,116
3	\$2,313	\$27,756
4	\$2,783	\$33,396
5	\$3,253	\$39,036
6	\$3,723	\$44,676
7	\$4,193	\$50,316
8	\$4,663	\$55,956

{Federal Register: March 18, 1999 (Volume 64, Number 52)} This will be updated with new versions during the plan year.

Exception to above income and resource guidelines:

Mental Health Outpatient: The Current Community Mental Health Center sliding fee scale will be used for per unit costs.

Incentive Fund Report for Marshall County FY 2000

(2)a: Service Setting Percentage

Date Prepared 2/1/2001

Service Settings	MI	CMI	MR	DD	OTHER	OVERALL
Supported Employment	0.00000%	0.99010%	5.71429%	0.00000%	0.00000%	1.31772%
Enclaves	0.00000%	0.00000%	1.42857%	0.00000%	0.00000%	0.29283%
Sheltered Workshops	0.00000%	5.94059%	25.00000%	35.29412%	0.00000%	6.88141%
Mental Health Institutes	0.94118%	22.77228%	0.71429%	0.00000%	0.00000%	4.09956%
State Hospital Schools	0.00000%	0.00000%	10.71429%	0.00000%	0.00000%	2.19619%
ICF/MR	0.00000%	0.00000%	8.57143%	0.00000%	0.00000%	1.75695%
Other over 5 Beds	0.00000%	14.85149%	11.42857%	5.88235%	0.00000%	4.68521%

Incentive Fund Report for Marshall County FY 2000

(2)b: Home-Based Percentage

Date Prepared 2/1/2001

	MI	CMI	MR	DD	OTHER	OVERALL
Total Consumers Served	425	101	140	17	0	683
Consumers in Residential Placements	0	16	28	1	0	45
Consumers not in Residential Placements	425	85	112	16	0	638
Home-Based Percentage	100.00000%	84.15842%	80.00000%	94.11765%	0.00000%	93.41142%

Incentive Fund Report for Marshall County FY 2000

(2)c: Inpatient Spending Percentage

Date Prepared 2/1/2001

Dollars Spent on Inpatient Services:	\$270,214.26
Dollars Spent on Outpatient Services:	\$333,448.88
Inpatient Spending Percentage:	44.76242%
Number of Persons with Inpatient Services:	57
Total Number of Consumers:	683
Percentage of Consumers Receiving Inpatient Services:	8.34553%

Incentive Fund Report for Marshall County FY2000

(3)a & b: Consumer & Family Participation

Date Prepared 11/07/2000 (Population 38,789)

Number of Opportunities for consumers to participate in planning:	7
Total duplicated Number of Consumers participating:	9
Total unduplicated Number of Consumers participating:	3
Total duplicated Number of Family Members participating:	10
Total unduplicated Number of Family Members participating:	3
Percentage of Consumers Participating/Unduplicated # Served:	.01317
Percentage of Consumers Participating/Population of County:	.00023
Percentage of Family Members Participating/Unduplicated # Served:	.01464
Percentage of Family Members Participating/Population of County:	.00025
Total duplicated number of attendance at all meetings:	95
Total unduplicated number of attendance at all meetings:	44

Incentive Fund Report for Marshall County FY 2000

(4)a: Administrative Cost Percentage

Date Prepared 2/1/2001

Administration Costs:	\$63,429.91
Total Costs:	\$2,854,841.83
Administrative Cost Percentage:	2.22184%

Incentive Fund Report for Marshall County FY 2000

(4)b: Service Responsiveness Average

Date Prepared 2/1/2001

Number of Applications:	232
Total Days:	938
Average Number of Days:	4.04310



– Marshall County Courthouse –

101 East Main Street – Marshalltown, Iowa 50158

MARSHALL COUNTY CENTRAL POINT OF COORDINATION

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**MARSHALL COUNTY
CENTRAL POINT OF COORDINATION**

**Performance Improvement Plan Report
For Incentive Efficiency Fund
Fiscal Year 1999-2000**

This report outlines the performance measures that Marshall County has targeted for improvement in addition to the required data collection. This report meets the requirements identified in Chapter 25 of the Iowa Administrative Code to access the Incentive and Efficiency Pool.

1 Performance Improvement Area: Consumer Participation [25.51(3)] a.

Improvement Indicator:

Marshall County CPC will increase the number of consumers and family members having input into the Marshall County Management Plan and related services.

Rationale:

- a) Marshall County believes that the consumers are in the best position to determine their needs.
- b) Current participation and input has not been as great as desired by the CPC.
- c) Alternative forms of input should increase consumer's opportunity to provide feedback to the CPC and plan development committee.

Action Steps:

- a) Establish a base line of participation
- b) Marshall County CPC will hold 3 focus groups discussions, once each with CMI, MR, and DD consumers and/or families. This information will be incorporated into the development of the management plan.
- c) The Marshall County CPC Administrator will meet with the ARC to discuss the management plan and gain input.

Evaluation Process:

The following formulas will be used to calculate the percentage of change:

Current

Unduplicated number of Consumers Attending = 3

Number of Consumers Served = 805

Incentive Calculation .0003727

Target

Will increase Unduplicated number of Consumers Attending to 6

Numbers of Consumers Served = 805

Incentive Calculation .0007453

FY00 Actual Results

Unduplicated number of consumers attended = 3

Unduplicated number of consumers served = 683

Incentive Calculation .004392

2 Performance Improvement Area: Equity of Access [25.51(1)]

Improvement Indicator:

Marshall County will review eligibility criteria to insure it continues to reflect the desired outcomes and intentions of the CPC Administrator, County Board of Supervisors, and MH/DD Advisory Board.

Rationale:

Eligibility guidelines are an essential component of the Management Plan. Income guidelines were added to the Marshall County Management Plan for the first time effective FY99-00.

Action Steps:

Document any denial based on income guidelines.

Measure:

Number denied based on income guidelines/number of person's served

Goal is .006173%

FY00 Actual Results

Actual number of applications = 232

Actual number of denials = 2

Number of denials based on income guidelines = 1

Incentive Calculation .001464

3 Performance Improvement Area: Administration [25.51(4)] b.

Improvement Indicator:

Marshall County will reduce the notice of decision time to improve the timeliness of services for consumers.

Rationale:

- a) Services are often needed quickly to meet a consumers needs. Unneeded delays can adversely impact consumers.
- b) Consumers have the right to timely decisions to allow them to have their needs met.

Action Steps:

- a) Develop monitoring systems to monitor the number of new applications
- b) Calculate time lapse on a month basis as outlined by incentive fund equations
- c) Establish a base line for lapse time from date of application to date of decision.

Measure:

Notice of decision date minus the application date divided by number of new applications

FY99 Baseline Estimate is 7.92157	FY00 Target Goal is 6.92156
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FY00 Actual Results

Actual number of applications = 232

Total number of days = 938

Average number of days incentive calculation 4.04310

4 Performance Improvement Area and Goal # 2 in Management Plan
Indicator proposed by the County Transition Planning

Improvement Indicator:

Transition from child based service funding to adult based service funding will be enhanced and earlier identification of children entering the adult system will be improved.

Rationale:

- a) Consumers and their families can benefit from earlier identification, as well as explanation of service options and funding sources.
- b) The CPC will have better information to plan budgets and to encourage the development of additional services if needed.

Action Steps:

- a) The CPC will attend Transition Advisory Board (TAB) meetings to provide information about services.
- b) The High School Senior Year IEP meetings will be attended upon the request of the consumer or their guardian.

Measure:

The Goal is 75% of meetings attended by the CPC Administrator, County Social Worker or Targeted Case Manager.

A FY99 baseline will be established with number of staffings and number of staffings attended. Documentation will provide information to show that of IEP for identified Senior Students who attended and what percentage is attained.

FY00 Actual Results

Actual number of invitations for IEP/staffings = 22

Total number attended = 22

Average number of days incentive calculation 100.00

5 Performance Improvement Area: Indicator proposed by the County

Commitment Brochure

Improvement Indicator:

Marshall County will provide to the Clerk of Court office a brochure describing the procedure used for a Mental Health (Code of Iowa Chapter 229) Commitment. This will explain the process and possible outcomes of such proceedings.

Rationale:

The general public is unaware of the guidelines and intent of a commitment proceeding. It is essential that they understand the purpose and responsibility

Action Steps:

- a) Form a subcommittee to get input from key players and develop the brochure. The brochure will be given to the Clerk of Court to be given with a request for commitment papers. The brochure will also be given to law enforcement personnel to be given when indicated.

Measure:

The Marshall County Clerk of Court nor the Marshalltown and Marshall County law enforcement does not have any informational literature available. The goal will be to provide this to the Clerk of Court and to 75% of the law enforcement officers in Marshall County.

FY00 Actual Results

Commitment brochure developed and printed.

Distributed to all local law enforcement agencies and 11 other agencies and the practicing attorneys in Marshall County.

Incentive calculation 100.00

FY00 Actual Provider Network

AGENCY	SERVICES	ADDRESS
Adults Inc.	Adult Day Care HCBS Supported Employment Work Activity	3420 University Ave Waterloo, IA 50701
Access Inc.	Work Activity	20 5 th St. NW Hampton, IA 50441
Boone County Transportation	Transportation	PO Box 708 Boone, IA 50036
Cabs of Marshalltown	Transportation	Marshalltown, IA 50158
Career Development Center	Work Activity	204 W. State St. Marshalltown, IA 50158
Cedar Creek Rescare	RCF	P.O. Box 932 Fairfield, IA 52556
Cedar Lane Estates	RCF	1114 J Avenue Jefferson, IA 50129
Central Iowa Residential Services	CSALA Adult Day Care HCBS Respite Supported Employment Enclave	1002 S 12th Ave. Marshalltown, IA 50158
Cherokee Mental Health Institute	Inpatient Hospital	1200 W. Cedar Cherokee, IA 51012
Christian Opportunity Center	ICF/MR	PO Box 347 Pella, IA 50219
Comprehensive System	RCF/MR Work Activity	1700 Clark St. P.O. Box 457 Charles City, IA 50616
Community Care, Inc.	RCF/PMI	1611 330 th Avenue Charlotte, IA 52731
Country Living Care Ctr.	RCF	2840 K Avenue Toledo, IA 52342
Department of Human Services Case Management	Case Management	Des Moines, IA
Diamond Life Health Care	RCF	Box 820 Montezuma, IA 50171
Ellsworth Municipal Hospital	Inpatient Hospital	110 Rocksylvania Iowa Falls, IA 50126
Exceptional Opportunities	RCF/MR Work Activity	Box 99 Burt, IA 50622
Exceptional Persons, Inc.	CSALA	Box 4090 Waterloo, IA 50704
Genesis Development	Work Activity	1607 N. Lake Avenue Storm Lake, IA 50588
Glenwood State Hospital School	State Hospital School	711 South Vine St. Glenwood, IA 51534
Goodwill of Northeast Iowa	Sheltered Workshop	2640 Falls Avenue Waterloo, IA 50701
Handicap Village DBA Opportunity Village	Work Activity	PO Box V 1200 N. 9th St. W. Clear Lake, IA 50428
Hawkeye Health Services	HCBS	P.O. Box 542 Nevada, IA 50201
Hills and Dales	ICF/MR	1011 Davis Avenue Dubuque, IA 52001
Hope Haven Inc.	Work Activity	1800 19th St. Rock Valley, IA 51247
Humbolt Workshop, Inc.	Work Activity	21 N. Taft Humbolt, IA 50548

Independence Mental Health Institute	Inpatient Hospital	PO Box 111 Independence, IA 50644
Innovative Industries	Work Activity	P.O. Box 216 Creston, IA 50801
Integrated Health Services of Jasper County	CSALA Supported Employment	5245 Liberty Avenue Newton, IA 50208
Iowa Resources for Community Support	HCBS	1251 334 th St. Woodward, IA 50276
Kathleen's Care Inc.	RCF/MR	PO Box 50536 Emmetsburg, IA 50536
Larrabee Center	RCF Sheltered Workshop	1951 Larrabee Avenue Waverly, IA 50677
Lee Hauptert	Advocate	Marshalltown, IA 50158
Link Associates	CSALA	4301 NE 14th St. Des Moines, IA 50313
Lutheran Social Services	HCBS	1323 Northwestern Ames, IA 50010
Marshalltown Municipal Transit	Transportation	24 N. Center St. Marshalltown, IA 50158
Mental Health Center Of Mid Iowa	Outpatient Mental Health	9 N 4th Ave. Marshalltown, IA 50158
Medicap Pharmacy	Prescription Medicine	104 W. Highway 30 Toledo, IA 52342 11 North 3 rd Avenue Marshalltown, IA 50158
Mt. Pleasant MHI	Inpatient Hospital	1200 E. Washington St. Mt. Pleasant, IA 52641
Mid-Iowa Workshops, Inc.	Sheltered Workshop Supported Employment	909 S 14th Ave Marshalltown, IA 50158
New Hope Village	ICF/MR	PO Box 887 East 18th St. Carroll, IA 51401
North Central Human Services Inc. Nevada I,II,III,IIII Clarion I,II	ICF/MR CSALA HCBS	PO Box 222 Nevada, IA 50201 203 4th Ave.S. Clarion, IA 50525
North Iowa Transition Center	CSALA RCF/PMI	PO Box 1503 Mason City, IA 50401
North Iowa Vocational	Sheltered Workshop	PO Box 428 Mason City, IA 50401
Opportunity Living	ICF/MR	105 Westview Lake City, IA 51449
Plymouth Life Inc.	RCF	1240 Lincoln St. NE LeMars, IA 51031
Prairie View Management	RCF	18569 Lane Road Fayette, IA 52142
Progress Industries	CSALA Sheltered Workshop Supported Employment HCBS	Box 1449 Newton, IA 50208
Region Six	Transportation	24 ½ N. Center St. Marshalltown, IA 50158
Rescare, Inc. Highland Place -RCF	RCF/PMI RCF is in Fairfield , IA	13011 120th Ave. Ottumwa, IA 52501-8662
Southwest Iowa Residential Facility	RCF/MR	203 West Monroe Creston, IA 50801
Spectra Health Care	Psychiatric Rehabilitation RCF	R.R. #3 Box 191 Storm Lake, IA 50588
Story County Community Life	RCF	104 S. Hazel Ave.

		Ames, IA 50010
Tenco Industries	HCBS Sheltered Workshop	R.R. #8 Box 23 Bloomfield, IA 52537
Woodward State Hospital School	ICF/MR	1251 334 th St. Woodward, IA 50276
Legal Services:		
Berkland & Brown	Court Appointed Attorney	Emmetsburg, IA
Boliver Law Firm	Court Appointed Attorney	Marshalltown, IA 50158
Erin Broadstone	Court Appointed Attorney	Marshalltown, IA 50158
Caldwell, Caldwell, Caldwell	Court Appointed Attorney	Newton, IA 50208
Eller, Brink & Sextro Law Firm	Court Appointed Attorney	Denison, IA
Kent Geffe	Court Appointed Attorney	Marshalltown, IA 50158
Randal Giannetto	Court Appointed Attorney	Marshalltown, IA 50158
Harrison, Brennecke, Moore, Smaha	Court Appointed Attorney	Marshalltown, IA 50158
Hastings & Gartin	Court Appointed Attorney	Ames, IA
Thomas J. Hoffman	Court Appointed Attorney	LeMars, IA
Johnson, Sudenga, Lathan, Peglow & O'Hare	Court Appointed Attorney	Marshalltown, IA 50158
Sondra Kaska	Court Appointed Attorney	Iowa City, IA
Steven G. Klesner	Court Appointed Attorney	Iowa City, IA
Michael Moon	Court Appointed Attorney	Marshalltown, IA 50158
Pattie, Hinshaw, Danielson & Kloberdanz	Court Appointed Attorney	Marshalltown, IA 50158
Philip M. Reisetter	Court Appointed Attorney	Iowa City, IA
Counties and Sheriff's		
Bremer County Sheriff	Transportation/Service	Bremer County
Buchanan County Clerk of Court	Reimbursement of Commitment Costs	Buchanan County
Cherokee County Sheriff	Transportation/Service	Cherokee County
Crawford County Sheriff	Transportation/Service	Crawford County
Fayette County Sheriff	Transportation/Service	Fayette County
Franklin County Sheriff	Transportation/Service	Franklin County
Hardin County Sheriff	Transportation/Service	Hardin County
Jasper County CPC	Reimbursement of Commitment Costs	Jasper County
Johnson County Sheriff	Transportation/Service	Johnson County
Marshall County Sheriff	Transportation/Service	Marshalltown, IA 50158
Muscatine County Community Services	Reimbursement of Commitment Costs	Muscatine County
Palo Alto County Sheriff	Transportation/Service	Palo Alto County
Plymouth County Sheriff	Transportation/Service	Plymouth County
Story County Sheriff	Transportation/Service	Story County
MR Waiver Self Directed Care:		
Carla D. Schmelzle	Self-Directed Care	Marshalltown, IA 50158
Cory W. Smith	Self-Directed Care	Marshalltown, IA 50158
Leanne Weber-Shive	Self-Directed Care	Marshalltown, IA 50158
Shama N. Smith	Self-Directed Care	Marshalltown, IA 50158
Rental Support:		
Crestview Apartments	Rent	Marshalltown, IA 50158
Merve Minde	Rent	Marshalltown, IA 50158
Economy Inn Motel	Rent	Marshalltown, IA 50158

Other Medical Support:		
Drug Town	RX	Marshalltown, IA 50158
Colene Grecian DDs	Dental Care	Marshalltown, IA 50158
Hy-Vee Pharmacy	RX	Marshalltown, IA 50158
Drug Town	RX	Mason City, IA
Mental Health Clinic of Tama County	Outpatient mental health	Toledo, IA
Rescare Mental Health	Outpatient mental health	Fairfield, IA
Southern Iowa Mental Health Center	Outpatient mental health	Ottumwa, IA
The Neuropsychiatric Clinic & Counseling	Outpatient mental health	Iowa City, IA
The Richmond Center	Outpatient mental health	Ames, IA
Walgreens	RX	Marshalltown, IA 50158
Integra Health	Outpatient mental health	Waverly, IA
Eyerly-Ball Mental Health Center	Outpatient mental health	Des Moines, IA
Lorena Gomez	Translation	Marshalltown, IA 50158